

Doc Code: M865 or FAIREQ.INTV

Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

Application No.: 10/599,334 First Named Applicant: GOTO, Yasuyuki
 Examiner: BOHART, Andrew K Art Unit: 1786 Status of Application: Final Rej. Mailed

Tentative Participants:

(1) Nicole Davidson (2) _____
 (3) _____ (4) _____

Proposed Date of Interview: 2/22/2012 Proposed Time: 3:45 (AM/PM)

Type of Interview Requested:

(1) ☐ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: _____

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>103 Rej.</u>	<u>20</u>	<u>Tanaka, Doi, & Hosokawa</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>103 Rej.</u>	<u>20</u>	<u>Murase & Hosokawa</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) <u>103 Rej.</u>	<u>20</u>	<u>Murase, Spachek, & Hosokawa</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) <u>103 Rej.</u>	<u>20-22</u>	<u>Matsumura & Hosokawa</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Continuation Sheet Attached			<input checked="" type="checkbox"/> Proposed Amendment or Arguments Attached		

Brief Description of Arguments to be Presented: _____

An interview was conducted on the above-identified application on _____

NOTE: This form should be completed and filed by applicant in advance of the interview (see MPEP § 713.01). If this form is signed by a registered practitioner not of record, the Office will accept this as an indication that he or she is authorized to conduct an interview on behalf of the principal (37 CFR 1.32(a)(3)) pursuant to 37 CFR 1.34. This is not a power of attorney to any above named practitioner. See the Instruction Sheet for this form, which is incorporated by reference. By signing this form, applicant or practitioner is certifying that he or she has read the Instruction Sheet. After the interview is conducted, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible. This application will not be delayed from issue because of applicant's failure to submit a written record of this interview.



Applicant/Applicant's Representative Signature

Nicole Davidson
 Typed/Printed Name of Applicant or Representative

63,967

Registration Number, if applicable

Examiner/SPE Signature

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 24 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Application No.: 10/599,334

Continuation Sheet for PTOL-413A form

The following is a non-limiting list of the issues and potential amendments that may be discussed during the interview:

- Use of a proviso that the electron-transporting layer is not a light emitting layer in order to overcome the rejection based on Tamano, Doi, and Hosokawa.
- The nonobviousness of the feature wherein “the electron-transporting layer is formed on the hole-transporting layer by a wet method using alcohol”. This nonobvious feature takes into consideration the solubility of the respective layers and requires that an electron-transporting layer be formed of an alcohol soluble material.
- Allowability of withdrawn claims, including the manufacturing method of an organic electroluminescent element recited by claim 7.
- Various issues concerning the Hosokawa reference, including that in the portion cited by the Examiner (col. 35, lines 32-60):
 - States that the electron transporting layer is formed on an emitting layer, rather than the hole-transporting layer;
 - Does not mention anything regarding the solubility of the respective layers; and
 - Does not mention that the electron-transporting layer is deposited using a wet method with alcohol.
- Where in prosecution Applicants acknowledged that NPD and PEDOT:PSS are insoluble in alcohol, as alleged by the Examiner.
- Whether Examiner is assuming that the hole injection layers of Tamano and Doi equate to hole-transporting layers.